



FOLEY  
HOAG  
ATTORNEYS AT LAW



12-18-01

SECRET

December 7, 2001

William D. DeVaul  
Boston Office  
617.832.1288  
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Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Re: U.S. Patent Application No.: **09/817,555**  
Entitled: *Systems and Methods for Correcting Supply/ Demand*  
*Imbalances in Multi-Tier Exchanges*  
Filed: March 26, 2001  
By: C. Speicher and J. Hirschman  
Docket No.: SMK-001.01

Dear Sir:

In response to the Notice to File Missing Parts mailed May 7, 2001 and the Notice of Incomplete Reply mailed August 30, 2001, Applicants enclose for filing in the above-referenced patent application the following:

1. This Missing Parts Transmittal Letter (*in duplicate*) with Certificate of Express Mailing No. EL 719 922 045 US;
2. A copy of the Notice of Incomplete Reply (Nonprovisional);
3. A copy of the Notice to File Missing Parts of Nonprovisional Application;
4. A Combined Declaration and Power of Attorney executed by Charles Speicher;
5. A Declaration And Power Of Attorney For Signature By Joint Inventor On Behalf Of Nonsigning Inventor Who Refuses To Sign (37 CFR 1.47(A) with Certificate of Express Mailing;
6. A Statement Of Facts In Support Of Filing On Behalf of Nonsigning Inventor with Certificate of Express Mailing;
7. A Petition for Five-Month Extension of Time (*in duplicate*) with Certificate of Express Mailing;
8. A check in the amount of **\$1,175.00**. (\$980.00 for the fee for the five-month extension of time, \$130.00 for the Nonsigning Inventor fee and \$65.00 for the surcharge for the late filing of a declaration; and
9. A return receipt postcard.

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09/817,555

Missing Parts Response

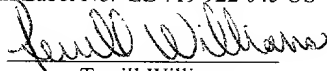
Page 2 of 2

The Commissioner is hereby authorized to charge any underpayments or credit any overpayments to our Deposit Account No. **06-1448**.

**Certificate of Express Mailing**

I hereby certify that this correspondence is deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on: **December 7, 2001**.

Mail Label No. EL 719 922 045 US

  
Terrill Williams

Respectfully submitted,

FOLEY HOAG



William D. Devaul

Reg. No. 42,483

Attorney for Applicants

**Customer ID No. 25181**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,555	03/26/2001	Charles Speicher	SMK-001.01

025181  
FOLEY, HOAG & ELIOT, LLP  
PATENT GROUP  
ONE POST OFFICE SQUARE  
BOSTON, MA 02109

*response to MP*  
**DUE: 7-7-01**  
**FINAL: 12-7-01**  
*(in system)*

CONFIRMATION NO. 9356

## FORMALITIES LETTER



\*OC000000006495411\*

Date Mailed: 08/30/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 07/13/2001 to the Notice to File Missing Parts (Notice) mailed 05/07/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.

*A copy of this notice MUST be returned with the reply.*

*M. H.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/25/01 10:00 AM

12/25/01

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SEP 1 2001  
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